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#2768  
8/22/00  
PATENT

Serial No. 09/516,792  
Docket No. 10392/46701

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of : Steven M. H. Wallman et al.  
Serial No. : 09/516,792  
Filed : 01 March 2000  
For : Method and Apparatus for Trading Securities or  
Other Instruments on Behalf of Customers  
Group Art Unit : Not yet Assigned  
Examiner : Not yet Assigned

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ASSISTANT COMMISSIONER  
OF PATENTS  
Washington, DC 20231

**PETITION TO CORRECT INVENTORSHIP UNDER 37 C.F.R. §1.48(a)**

SIR:

Pursuant to 37 C.F.R. § 1.48(a), the Inventors of the above-identified application, by their attorney, herewith petition the Commissioner to amend the application by correcting the inventorship in the application as originally filed.

An error occurred in naming STEVEN M. H. WALLMAN as the sole inventor of this application. This error occurred without deceptive intent. The error was discovered recently and the inventors have moved to expeditiously correct this error. An additional inventor, LUDWIG B. CHINCARINI was inadvertently omitted in the filing papers. The actual inventors of this application are --Steven M. H. WALLMAN and Ludwig B. CHINCARINI.--

The following documents, as required under 37 C.F.R. 1.48(a), accompany this petition:

1. A statement by inventor Steven M. H. WALLMAN; and
2. Declaration and Power of Attorney executed by all of the inventors.

It is respectfully requested that the application be amended by changing the inventors named in the original application from "Steven M. H. WALLMAN" to --Steven M. H. WALLMAN and Ludwig B. CHINCARINI--.

Please charge Deposit Account No. 11-0600 in the amount of \$130.00 representing the fee set forth in 37 C.F.R. §1.17(h). A copy of this Petition is enclosed for that purpose.

Respectfully submitted,

KENYON & KENYON

Dated: 18 July 2000

By: 

Michael N. Haynes  
Registration No. 40,014

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